PATENT APPLICATION

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of	)	
	)	
Applicant: Stern et al.	)	
	)	
Title: Bacteriocins and Novel Bacterial Strains	)	Group Art Unit:
	)	
	)	Examiner:
	)	
Serial No.:	)	
	)	
Docket No.: 0135.03	)	
	)	
Filed:	)	
	)	
	)	

DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. 1.56

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with 37 C.F.R. 1.56 Applicants herewith submit documents believed to be relevant to the above-identified patent application. The documents are listed on form PTO-1449 attached hereto. A copy of each document, not including a U.S. Patent, is enclosed.

This Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; or (iii) the above information constitutes prior art in the subject invention.

REFERENCES

1. U.S. Patent No. 5,733,568, Ford, March 31, 1998.

2. U.S. Patent No. 6,403,082, Stiles et al., Jun. 11, 2002.

3. Joosten, H., et al., "Purification and Characterization of Enterocin 4, a Bacteriocin *Produced by Enterococcus faecalis* INIA 4", Applied and Environmental Microbiology, Vol. 62 (11), pp. 4220-4223, Nov. 1996.

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13. Rodriguez, J., et al., "Bactericidal effect of enterocin 4 on Listeria monocytogenes in a model dairy system", Medline Abstract, J Food Prot, Vol. 60, (1), pp. 28-32, Jan. 1, 1997

14. Nunez, M., et al., "Inhibition of Listeria monocytogenes by enterocin 4 during the manufacture and ripening of Manchego cheese", Medline Abstract, J Appl Microbiol, Vol. 83, (6), pp. 671-7, December 1, 1997.

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17. Du Toit, M., et al., "Preliminary characterization of bacteriocins produced by *Enterococcus faecium* and *Enterococcus faecalis* isolated from pig feces", Abstract, Journal of Applied Microbiology, Vol. 88, (3), p. 482, March 2000.

18. Marekova, M., et al., "Partial characterization of bateriocins produced by environmental strain *Enterococcus faecium* EK13", Abstract, Journal of Applied Microbiology, Vol. 94, (3), p. 523, March 2003.

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Respectfully submitted,

August 20, 2003
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Enclosures
PTO-1449 (3 sheets)
26 References

cc:
J. Fado
N. Stern

FORM PTO-1449 (Rev. 4/92) U.S. Department of Commerce Patent and Trademark Office	ATTY. DOCKET NO. 0135.03	SERIAL NO.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	APPLICANT Stern et al.	
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U.S. PATENT DOCUMENTS

		5	7	3	3	5	6	8	Mar. 31, 1998	Ford			
		6	4	0	3	0	8	2	June 11, 2002	Stiles et al.			

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Joosten, H., et al., "Purification and Characterization of Enterocin 4, a Bacteriocin *Produced by Enterococcus feccalis* INIA 4", Applied and Environmental Microbiology, Vol. 62 (11), pp. 4220-4223, Nov. 1996.
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